

**Joint Audit Committee**  
**Forward Plan**  
**As at December 2016**

<b>Item</b>	<b>Detail</b>	<b>Responsible Body</b>	<b>Date of last report</b>	<b>Date of Next Report</b>
<b>Standing Items</b>				
External Audit progress report	<i>To receive and consider a progress report from the External Auditors.</i>	External Auditors	12 <sup>th</sup> December 2016	Standing Item
Internal Audit Progress Report	<i>To receive and consider a report on progress against the internal audit plan.</i>	Internal Auditors	12 <sup>th</sup> December 2016	Standing Item
SSI Assurance and Action Plan Progress Report	<i>To receive a summary report from the Alliance on progress made</i>	Force	12 <sup>th</sup> December 2016	Standing Item
OPCC Risk Register	<i>To receive and comment on the updated risk register for the OPCCs.</i>	OPCC	12 <sup>th</sup> December 2016	Standing Item
Force Risk Register	<i>To receive and comment on the updated risk register for the Force.</i>	Force	12 <sup>th</sup> December 2016	Standing Item
HMIC Reports	<i>To receive a summary of the latest published HMIC reports</i>	Force	12 <sup>th</sup> December 2016	Standing Item

Item	Detail	Responsible Body	Date of last report	Date of Next Report
<b>Future Items</b>				
<b>February (Special Meeting if required)</b>				
Appointment of External Auditors	<i>To receive and comment on proposals for the appointment of external auditors from 2018/19 onwards</i>	OPCC/Force	19 <sup>th</sup> September 2016	February 2017
<b>March</b>				
Treasury Management Strategy	<i>To receive and comment upon the joint Treasury Management Strategy for Warwickshire and West Mercia, before their consideration by the two PCCs.</i>	OPCC	14 <sup>th</sup> March 2016 Updated 20 <sup>th</sup> June 2016	March 2017
Internal Audit Plan	<i>An opportunity for the Committee to contribute to the formation of the Internal Audit Plan for the forthcoming year.</i>	Internal Audit	14 <sup>th</sup> March 2016	March 2017
SSI Audit and Assurance Plan 2016/17	<i>To consider the presented SSI Audit and assurance plan.</i>	Force	14 <sup>th</sup> March 2016	March 2017
External Audit Plans	<i>To receive and comment on the external audit plans for Warwickshire and West Mercia Police and Crime Commissioners and Chief Constables.</i>	External Auditors	14 <sup>th</sup> March 2016	March 2017
Budget Reports	<i>To consider the Warwickshire and West Mercia presented budget reports.</i>	OPCC	14 <sup>th</sup> March 2016	March 2017
Annual Governance Statements	<i>To receive the draft Annual Governance Statements from the Chief Constable and the two Police and Crime Commissioners before they are published.</i>	OPCC	20 <sup>th</sup> June 2016	March 2017
Value for Money	<i>To review the latest value for money data</i>	OPCC/Force	14 <sup>th</sup> March 2016	March 2017

<b>Item</b>	<b>Detail</b>	<b>Responsible Body</b>	<b>Date of last report</b>	<b>Date of Next Report</b>
Assurance Arrangements	<i>To review the assurance arrangements/map</i>	OPCC/Force	n/a	March 2017
Anti Fraud and Corruption Strategy	<i>To review and update the existing Anti Fraud and Corruption Strategy</i>	OPCC/Force	n/a	March 2017
Change Programme update	<i>To receive a briefing on the change programme</i>	Force	n/a	March 2017
<b>June</b>				
Treasury Management Outturn Report	<i>To receive and comment upon the Treasury Management Outturn for Warwickshire and West Mercia.</i>	OPCC	20 <sup>th</sup> June 2016	June 2017
Draft Unaudited Statement of Accounts	<i>To receive and comment on the pre-audit Statement of Accounts for each Police and Crime Commissioner and Chief Constable.</i>	OPCC	20 <sup>th</sup> June 2016	June 2017
External Audit Plans	<i>To receive and comment on the external audit plans for Warwickshire and West Mercia Police and Crime Commissioners and Chief Constables.</i>	External Auditors	14 <sup>th</sup> March 2016	June 2017
Internal Audit Annual Report	<i>To receive and consider a report on the Internal Audit for the financial year.</i>	Internal Auditors	20 <sup>th</sup> June 2016	June 2017
External Audit Planned Audit Fee	<i>To receive and consider a letter to both Police and Crime Commissioners and the Chief Constables outlining the planned audit fee for the forthcoming year.</i>	External Auditors	20 <sup>th</sup> June 2016	June 2017
Change Programme update	<i>To receive a briefing on the change programme</i>	Force	n/a	March 2017
Appointment of External Auditors	<i>To receive and comment on a progress report covering the appointment of external auditors from 2018/19 onwards</i>	OPCC/Force	19 <sup>th</sup> September 2016	February 2017

Item	Detail	Responsible Body	Date of last report	Date of Next Report
<b>July</b>				
Joint Audit Committee Annual Report	<i>To agree the Chair's Annual Report on the Activities of the Committee</i>	JAC	19th September 2016	July 2017
Annual Audit Letters	<i>To receive and comment on the Annual Audit Letters for Warwickshire and West Mercia.</i>	External Auditors	14 <sup>th</sup> December 2015	July 2017
Audited Statement of Accounts	<i>To receive and comment on the Statement of Accounts for each Police and Crime Commissioner and Chief Constable.</i>	OPCC	19th September 2016	July 2017
<b>September</b>				
Place Partnership Report	<i>To receive a report on the how the Alliance receives assurance on the governance arrangements of PPL</i>	Internal Auditors	19 <sup>th</sup> September 2016	September 2017
Review of the Effectiveness of the Audit Committee	<i>To receive a report on the effectiveness of the Audit Committee</i>	Chair/Treasurer	19 <sup>th</sup> September 2016	September 2017
Appointment of External Auditors	<i>To receive and comment on a progress report covering the appointment of external auditors from 2018/19 onwards</i>	OPCC/Force	19 <sup>th</sup> September 2016	February 2017
Change Programme update	<i>To receive a briefing on the change programme</i>	Force	n/a	March 2017
<b>December</b>				
Setting Dates for Future Audit Committee Meetings	<i>To agree the dates for forthcoming committee meetings.</i>	OPCC	12 <sup>th</sup> December 2016	December 2017

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Treasury Management mid-year Report	<i>To receive and comment on the mid-year report on Warwickshire and West Mercia's treasury management activities.</i>	OPCC	12 <sup>th</sup> December 2016	December 2017
Forward Plan	<i>To agree the future work for the committee.</i>	OPCC	12 <sup>th</sup> December 2016	December 2017
Joint Audit Committee Terms of Reference	<i>To review and update the terms of reference for the Committee.</i>	OPCC	12 <sup>th</sup> December 2016	December 2017
Change Programme Update	<i>To receive a briefing on the change programme.</i>	Force	12 <sup>th</sup> December 2016	March 2017
Corporate Governance	<i>To review the Corporate Governance Framework/Financial Regulations.</i>	OPCC/Force	12 <sup>th</sup> December 2016	December 2017
Appointment of External Auditors	<i>To recommend the appointment of external auditors from 2018/19 onwards.</i>	OPCC/Force	19 <sup>th</sup> September 2016	February 2017