



Warwickshire Police and West Mercia Police

Police and Crime Commissioners

Joint Audit Committee 19th March 2018

Assurance Audit Progress Report

Monitoring of Assurance Recommendations

Assurance recommendations are those recommendations resulting from the assurance audits carried out by the Analysis & Service Improvement (ASI) Audit & Assurance Team.

Summary of outstanding/ongoing recommendations:

Safer Neighbourhood Team (SNT) Websites

10 recommendations relating to the content and maintenance of SNT web sites. Briefing days and surveys were conducted for staff directly affected. The Volunteer Programme Manager (VPM) met with Chief Superintendant Local Policing West Mercia North (Ch Supt LPA) and the Head of Corporate Comms (HCC) to discuss what is put on the websites and also expectations around what SNTs would be required to publish.

2 Digital Corporate Comms Officers have been recruited. One started in post in February, the second was interviewed in January. Ch Sup LPA will work with them to get this up and running, along with issues such as Facebook Neighbourhood Watch. There are some issues with the Community Messaging Service (CMS) not being suitable for an upgrade. HCC and Ch Supt LPA are working through this. Target September 2018.

Stop and Search

4 recommendations focusing on Information Technology (IT) system changes to ensure the information captured on stop and a search forms is accurate and relevant. The work was originally scoped at 4 days of development time plus testing to be completed by the end of February 2018.

However at the Stop Search Database Meeting 08/02/2018 additional IT changes were discussed and it was agreed that these would further enhance accurate and relevant data capture. All IT work will go live at the same time. And it is envisaged this will be done by the end of March 2018.

COMPACT Missing persons

3 recommendations to explore IT solutions for markers and photographs to be shared across force systems and LPA briefing pages to continue to show live missing persons on the relevant LPA dealing with the investigation following transfers between LPA, or from other forces. The new Strategic Lead for Missing Persons has been briefed and the action plan for these recommendations has been added to the Missing Action Plan (MAP). Target March 2018.

Monitoring of Internal Audit Recommendations

Internal audit recommendations are those resulting from the audits carried out by the Worcestershire and Warwickshire County Council Risk and Assurance Service as commissioned by the Forces and Police and Crime Commissioners (PCC).

At the JAC meeting of 11 January 2018, the committee requested that Internal Audit recommendations be incorporated into the Internal Audit Progress Report submitted to JAC. Therefore these recommendations no longer form part of the ASI report.

Conclusion

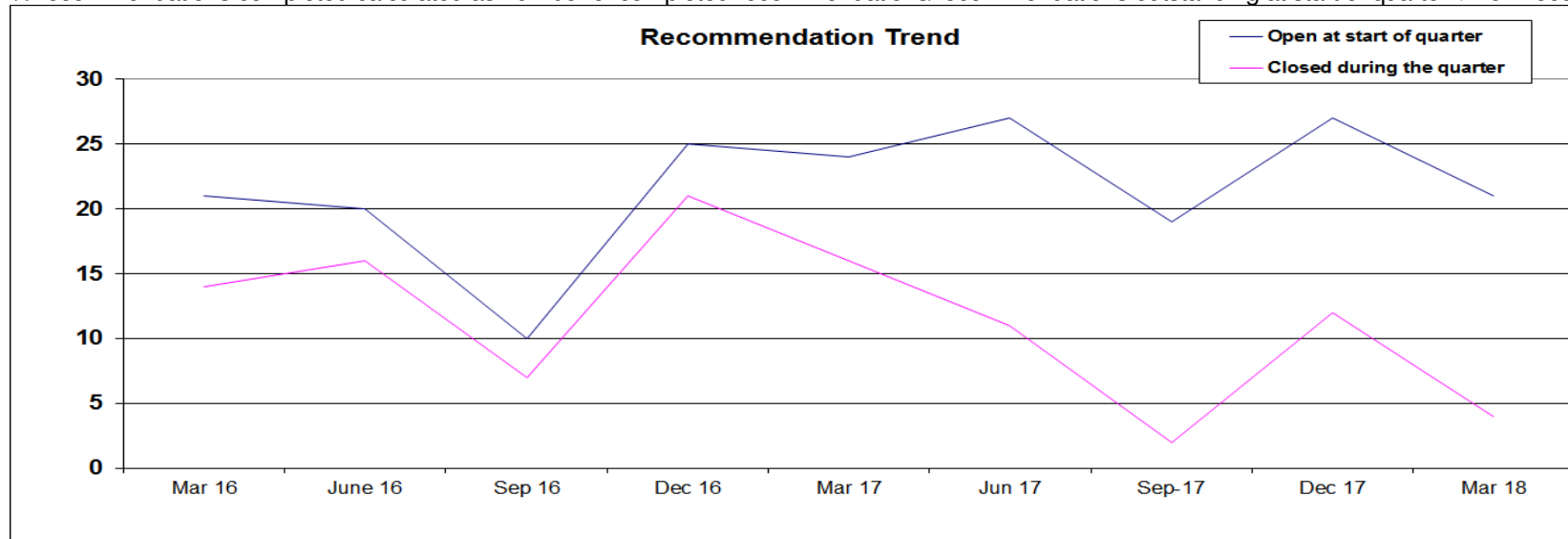
The tracking spreadsheet contained 21 assurance audit recommendations open within this period. 4 have been completed. This leaves 17 ongoing assurance recommendations.

Superintendent Ben Smith
Head of Analysis & Service Improvement

Recommendation Summary Rolling Quarter Q1 2018

	Quarter 2 2017	Quarter 3 2017	Quarter 4 2017	Quarter 1 2018
	Assurance Recs.	Assurance Recs.	Assurance Recs.	Assurance Recs.
Recommendations outstanding at start of quarter	27	19	27	21
New Recommendations received in quarter	3	10	6	0
Completed Recommendations in quarter	11	2	12	4
Recommendations outstanding at end of quarter	19	27	21	17
% Recommendations completed	36.7	6.9	36.4	19.0

% recommendations completed calculated as number of completed recommendations/recommendations outstanding at start of quarter + new recommendations.



Open Recommendation Summary Q1 2018

	Recommendation Owner / Business Owner	Audit Title	Auditors	Recs	High	Med	Low	Latest Update	Update Narrative	Target
1	Chief Superintendant WMP North Local Policing	SNT Web Sites	Assurance	10	3	6	1	07/02/18	2 Digital Corporate Comms Officers have been recruited. Ch Sup LPA will work with them to get this up and running. Community Messaging Service (CMS) is not suitable for an upgrade. Head of Corporate Comms and Ch Supt LPA are working through this.	Sep-18
2	Strategic Lead Stop & Search	Stop and Search	Assurance	4	4	0	0	20/02/18	At the Feb Stop Search Database Meeting additional changes were discussed and it was agreed that these new changes will go live at the same time as the original changes.	Mar-18
4	Strategic Lead Missing Persons	COMPACT Missing Persons	Assurance	3	3	0	0	07/02/18	The new Strategic Lead for Missing Persons has been briefed and the action plan for these recommendations has been added to the Missing Action Plan (MAP).	Mar-18
				17	10	6	1			

Closed Recommendations Summary Q1 2018

<u>Audit Title</u>	<u>Qty</u>	<u>Date Closed</u>
COMPACT Missing Persons	3	31/01/2018
CSE	1	15/02/2018
Total	4	
All recommendations complete		
Other recommendations outstanding		

Closed Recommendations Narrative Q1 2018

Audit	Recommendations	Management Response	Completed
COMPACT Missing Persons	<p>3 recommendations focusing on communications to staff:</p> <p>Rec 1: Refreshed communications to supervisors about the importance of reviews and ensuring that they are recorded in the correct place on compact.</p> <p>Rec 2: To raise awareness within OCC of the audit findings accepting that Saab Safe will change their processes.</p> <p>Rec 4: Communications to officers and staff creating compact about the importance of data accuracy.</p>	<p>The Communications to relevant staff have been done but will also be included as part of an ongoing comms strategy on Missing. This has been added to the Missing Action Plan (MAP).</p>	31/01/2018
Child Sexual Exploitation (CSE)	<p>1 recommendation to review training requirements for Harm Assessment Unit (HAU) staff, Designated Decision Makers (DDM) and Investigation Management Unit (IMU) staff to ensure they are able to apply the revised CSE definition and identify records where CSE Warning Markers should be added / removed accordingly.</p>	<p>IMU/DDM: Training required for all staff. Arrangement made with IMU Manager to deliver CSE input on their next set of team training days.</p> <p>HAU: All of the HAU East team have attended the Warwickshire Safeguarding Childrens Board (WSCB) full day CSE training.</p> <p>The other HAU teams have completed National Centre for Applied Learning Technologies (NCALT) training but have received no classroom based CSE training. CSE co-ordinators / HAU supervisors have been tasked with scoping Local Safeguarding Childrens Board (LSCB) training for their teams. Should this not be available then Learning & Development (L&D) will be made aware to assist.</p>	15/02/2018