

For the March 2019 Joint Audit Committee meeting

Key updates

- ☐ **Risk L – OCC go-live delay**, increased risk. Risk to Warwickshire is potentially missing the window to re-establish a collaborative position with West Mercia. Risk to West Mercia is a blend of potential unexpected cost and potential delay from technical issues pushing essential testing back.
- ☐ **Risk P – Student Officer recruitment and training**, reduced risk. Sufficient reassurances to West Mercia for this risk to be Tolerated now. Warwickshire is an improved position, therefore a reduced Likelihood, pending similar levels of reassurance.
- ☐ **Risk R – The risk that the work towards re-organising the alliance will disproportionately affect business as usual activity**. Exec Board have placed this risk on the Risk Map where activity and progress can be considered.

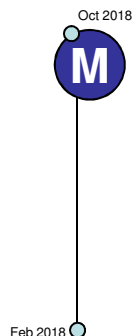
Strategic Risk Methodology

Each risk presented to Exec Board for consideration, is regarded on a risk by risk basis. The Board agree their risk appetite regards the risk being discussed and a response made.

The Exec Board Risk Map is part of a larger Risk Map Framework, see next page.

Good practice with risk management is not to focus upon a marker on a heat map moving position, but rather to focus on controls and activity that will enable the Exec Board to be able to Tolerate the level of risk they are exposed to. Never the less the positions and movement of the markers is recorded on the Heat Map.

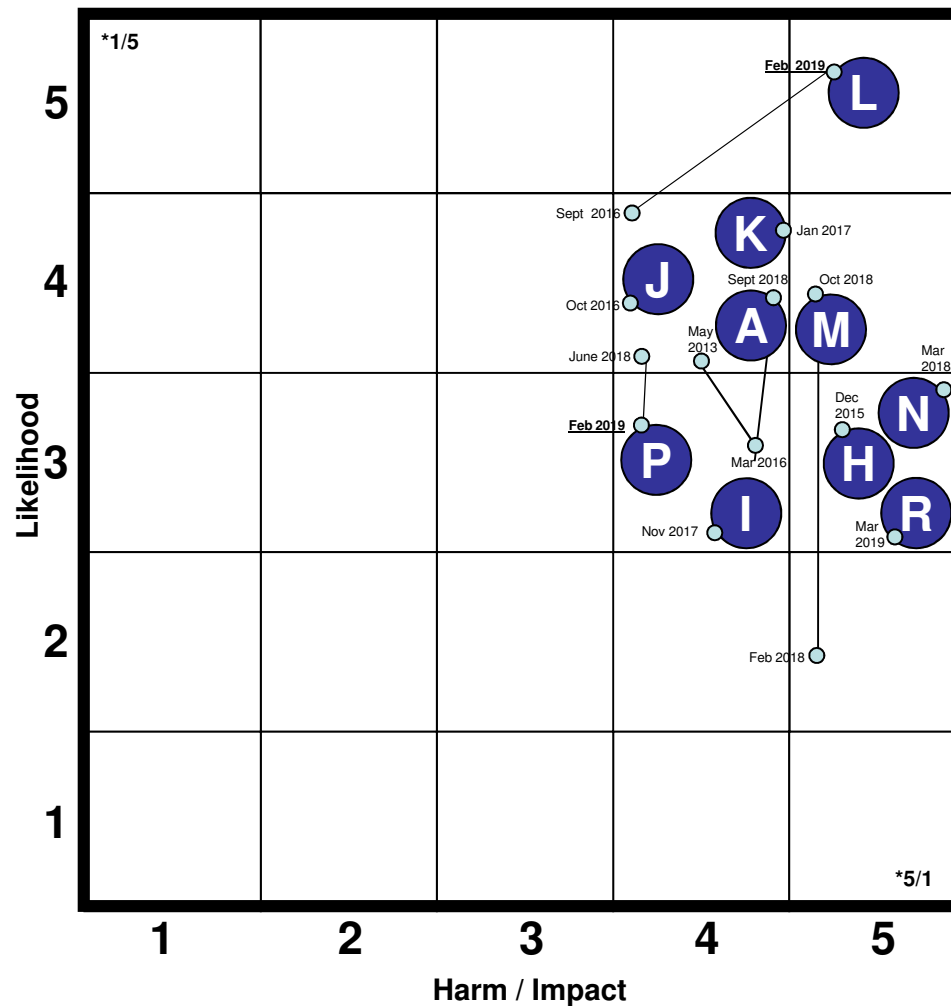
e.g. Risk M, entered the Risk Map in February 2018 and moved upwards as the risk increased to its current position in October 2018.



Simon Neville
Strategic Organisational Learning and Risk Officer

Alliance – Strategic Risk Map – February 2019

Official - Simon Neville March 2019



L

OCC 'go-live' delay risk 10.5

The risk of further delay to OCC go-live due to the incompatibility of two external suppliers of a telecom product required to meet the OCC Programme go-live date. Further delays in OCC go-live will extend the reliance on aging legacy command and control systems.

Go-Live date now aimed at the second half of 2019.

M

ICT Technical Architecture, and ICT Department Capacity and Capability 9.5

The Alliance's ICT resource is the critical enabler of both operational policing, supporting services and both forces' ability to change.

R

The risk that the work towards re-organising the alliance will

disproportionally affect business as usual activity 8.5

Both forces are in the process of reviewing and developing options for how they will operate post October 2019 when the current alliance agreement comes to an end

N

Not achieving and maintaining ISO accreditation levels 8.5

Investment and management support required in order to achieve and maintain UKAS accreditation within a number of Forensic Services areas of business and across some other Alliance functions.

H

Financial impacts - The Medium Term Financial Plan 8.5

The delivery of the financial savings plan set against an uncertain medium term political and economic climate.

K

Authorised Firearm Officer (AFO) Numbers 8.4

The implementation and ongoing deployment of a successful strategy to retain the level of AFO's to a point that ensures compliance with the Strategic Policing Requirement.

A

Health and Wellbeing 8.4

The ongoing, consistent monitoring and understanding of factors that affect health and well being and the deployment of a consistent strategy to tackle the causes outlined.

J

Information Quality 8.4

Data compliance which, if not managed, provides inaccurate data which is used to formulate decisions and may breach legislation.

I

Athena 7.4

The risk that Athena will cause pressures across the organisation which may result in reduced performance, protection or staff wellbeing

P

Student Officer Recruitment and training. 7.4

The risk that we are unable to recruit sufficient student officers and deliver their training programme to meet the increased establishment requirements for the alliance.